



**PRIVACY NOTICE - Weatherly Asset Management, LP (WAM)** Weatherly Asset Management, LP (“WAM,” “we,” “our,” or “us”) is committed to protecting the privacy and security of your personal information.

**Information We Collect** We collect personal information that helps us provide financial planning and investment management services, including:

- Personal and financial information (such as income, assets, and investment goals)
- Health information, only if needed for financial planning
- Information about transactions with us or others
- Information from consumer reporting agencies (such as credit reports)

We use this information solely to help you meet your financial goals.

**How We Share Information** With your permission, we may share limited information with:

- Attorneys, accountants, and mortgage lenders you work with
- Your brokerage firm to execute securities transactions on your behalf

You may **opt out** of sharing information with non-affiliated third parties at any time by contacting us by phone, mail, fax, email, or in person. Clients may also authorize WAM to contact one or more emergency contacts through a Client Information Release Authorization Letter. This allows us to continue managing your assets in the event of a significant life event, such as death, incapacity, or diminished capacity. We do not sell your personal information and do not provide it to mailing list vendors or solicitors.

**How We Protect Your Information** We take reasonable and appropriate steps to protect your information, including:

- Secured offices with locks and alarm systems
- Firewalls, data encryption, and authentication controls
- Ongoing support from third party technology security specialists

We regularly review information for accuracy and require strict confidentiality from any non affiliated third parties who need access to your information, such as auditors, consultants, and financial service providers.

**Technology Providers** WAM works with trusted third party technology providers, including: MoneyGuidePro®, Microsoft®, ENVESTNET® Tamarac®, Holistiplan, DocuSign, and Adobe. Each provider maintains its own privacy and security policies. We conduct regular due diligence to help ensure your information remains protected.

**Record Retention** We keep your personal information while you are a client and for the period required by federal and state securities laws. After that, information may be securely destroyed.

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**California Privacy Rights (CCPA / CPRA)** If you are a California resident, you have additional rights under the California Consumer Privacy Act (CCPA), as amended by the California Privacy Rights Act (CPRA).

**Your Rights Include:**

- Right to Know what personal information we collect, use, and disclose
- Right to Access a copy of your personal information
- Right to Correct inaccurate personal information
- Right to Delete personal information, subject to legal exceptions
- Right to Opt Out of the sale or sharing of personal information (note: WAM does not sell or share personal information for marketing purposes)
- Right to Limit Use of sensitive personal information
- Right to Non Discrimination for exercising your privacy rights

**How to Exercise Your Rights** You may submit a request by contacting us by phone, email, mail, or in person. We may need to verify your identity before processing your request.

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**Regulatory Access** Federal and state securities regulators may review our records, including personal information, as permitted by law.

**Changes to This Notice** If we change this Privacy Notice, we will notify you in advance when required. We are required by law to provide this notice to you annually.