



## **Weatherly Asset Management, L.P.**

### **PRIVACY STATEMENT**

Weatherly Asset Management, LP (WAM) is committed to maintaining the confidentiality, integrity and security of the personal information that is entrusted to us. The categories of nonpublic information that we collect from you may include information about your personal finances, information about your health to the extent that it is needed for the financial planning process, information about transactions between you and third parties, and information from consumer reporting agencies, e.g., credit reports. We use this information to help you meet your personal financial goals.

With your permission, we disclose limited information to attorneys, accountants, and mortgage lenders with whom you have established a relationship. You may opt out from our sharing information with these nonaffiliated third parties by notifying us at any time by telephone, mail, fax, email, or in person. With your permission, we share a limited amount of information about you with your brokerage firm in order to execute securities transactions on your behalf.

Clients can grant WAM authorization to contact one or more emergency contacts via a Client Information Release Authorization Letter. This helps allow WAM continuous management of Client assets in the event of a life-changing event, such as death, incapacity, or diminished capacity (collectively "Significant Life Events"). This information is audited on a regular basis for accuracy.

We maintain a secure office with locks and alarm systems to ensure that your information is not placed at unreasonable risk. We employ a firewall barrier, secure data encryption techniques and authentication procedures in our computer environment. We also outsource to a technology specialist to make sure our systems stay cutting edge and secure for the full protection of clients' information.

We do not provide your personal information to mailing list vendors or solicitors. We require strict confidentiality in our agreements with unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors. Federal and state securities regulators may review our Company records and your personal records as permitted by law.

WAM partners with various third party technology vendors including MoneyGuidePro®, eMoney Advisor, LLC, ENVESTNET® Tamarac®, and FeeX, Inc. Each of these entities maintains, revises, and adheres to their own strict privacy policies and information security protocols. WAM conducts regular due diligence on this to ensure the ongoing safety of your personal information.

Personally identifiable information about you will be maintained while you are a client, and for the required period thereafter that records are required to be maintained by federal and state securities laws. After that time, information may be destroyed.

We will notify you in advance if our privacy policy is expected to change. We are required by law to deliver this *Privacy Notice* to you annually, in writing.